

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q78623

Toshinac ARAI, et al.

Appln. No.: 10/720,537

Group Art Unit: 1732

Confirmation No.: 4406

Examiner: Stephen J. LECHERT, JR.

Filed: November 25, 2003

For: SOLUTION CASTING PROCESS FOR PRODUCING POLYMER FILM

REQUEST FOR REFUND

MAIL STOP 16

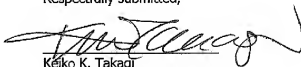
Director of the U.S. Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant hereby respectfully requests a refund in the amount of \$1,700.00 to be credited to Deposit Account No. 19-4880 since the Issue and Publication Fees were paid twice.

Applicants requested that the Issue and Publication fee of \$1,700.00 be charged to Deposit Account No. 19-4880 on December 15, 2006. Inadvertently, however, an additional Issue Fee Transmittal was submitted on December 21, 2006 along with check no. 311607 in the amount of \$1,700.00. A copy of the check and endorsed check are attached. Although the Issue and Publication Fee were charged to Deposit Account No. 19-4880 on December 18, 2006, check no. 311607 was deposited as well. A copy of the Deposit Account Monthly Statement showing the charge and copies of check no. 311607 along with the endorsed copy are attached.

Respectfully submitted,



Keiko K. Takagi
Registration No. 47,121

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: February 26, 2007

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Exx (571)-273-2885**



INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address, and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Please Use Block 1 for any change of address)

13373 7590 09/26/2006
SUGHRUE MION, PLLC
2100 PENNSYLVANIA AVENUE, N.W.
SUITE 800
WASHINGTON, DC 20037

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

Certificate of Mailing or Transmittal

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being (as applicable) transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILED DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	------------	----------------------	---------------------	------------------

10/720,537 11/25/2003 Yoshino Arai

Q78623

4496

TITLE OF INVENTION: SOLUTION CASTING PROCESS FOR PRODUCING POLYMER FILM

APPL. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	12/26/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
LECHERT JR, STEPHEN J	1732	264-028000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/147, Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For marking on the patent front page, list

(1) the names of up to 3 registered patent attorneys or agents OR, alternatively,

(2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 3 registered patent attorneys or agents. If the name is listed, no name will be printed.

1 SUGHRUE MION, PLLC

2

3

3. **ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT** (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 1.311. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE (CITY AND STATE OR COUNTRY)

Fuji Photo Film Co., Ltd.

Kanagawa, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
☐ Publication Fee (No small entity discount permitted)
☐ Advance Order - # of Copies

4b. Payment of Fee(s): (Please first reconfirm my previously paid issue fee shown above)

A check is attached for the NOA Fees payment. Please charge any payment deficiency and credit overpayment to PODA 18-4880. A duplicate copy of this form is attached.

(Indicate, or credit any extra copy of this form.)

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(a)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date

Typed or printed name

Registration No.

Paul F. Neils
 Paul F. Neils
 33, 102

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is required by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450
 or **Fax (571)-273-2885**



INSTRUCTIONS: This form should be used for transmitting the **ISSUE FEE** and **PUBLICATION FEE** (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Please use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmittal.

23773 7590 09/26/2006

SUGHRUE MION, PLLC
2100 PENNSYLVANIA AVENUE, N.W.
SUITE 800
WASHINGTON, DC 20037

Certificate of Mailing or Transmittal
 I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (371) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILE NO DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10720,537	11/25/2003	Toshima Ami	Q78623	4406

TITLE OF INVENTION: SOLUTION CASTING PROCESS FOR PRODUCING POLYMER FILM

APPL. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEES DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$0	\$1700	12/6/2006

EXAMINER	ART UNIT	CLASS-SUBCLASS
----------	----------	----------------

LECHERT JR, STEPHEN J 1733 264-02800

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.153).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/147, Rev. 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

SUGHRUE MION, PLLC

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(a) NAME OF ASSIGNEE

(b) RESIDENCE (CITY AND STATE OR COUNTRY)

FUJI PHOTO FILM CO., LTD.

KANAGAWA, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies

4b. Payment of fee(s) of others that exceeds one month's fee (see fee schedule)

The statutory fee being charged to Deposit Account No. 19-4880.

Please charge any payment deficiency and Credit overpayment to PODA 19-4880.

do any (this form)

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.

- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(b)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Paul F. Neils

Date **December 15, 2006**

Typed or printed name **Paul F. Neils**

Registration No. **33,102**

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.4. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



UNITED STATES PATENT AND TRADEMARK OFFICE

United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

MONTHLY STATEMENT OF DEPOSIT ACCOUNT

To replenish your deposit account, detach and return top portion
with your check. Make checks payable to "Director of the USPTO."

SUGHRUE MION, PLLC
2100 PENNA AVE N W
SUITE 800
WASHINGTON DC 20037

FINA

Account No.	194880
Date	12-29-06
Page	29

PLEASE SEND REMITTANCES TO:
U.S. Patent and Trademark Office
P.O. Box 371279
Pittsburgh, PA 15251-7279

Call the Deposit Account Branch at 571-272-6500 for assistance.

DATE POSTED MO. DAY YR.	CONTROL NO.	DESCRIPTION (Serial, Patent, TM, Order)	DOCKET NO.	FEE CODE	CHARGES/ CREDITS	BALANCE
12 18 06	213	10720537	Q78623	1501	1400.00	182257.48
12 18 06	214	10720537	Q78623	1504	300.00	181957.48
12 18 06	217	09564695	Q59103	1501	1400.00	180557.48
12 18 06	218	09564695	Q59103	1504	300.00	180257.48
12 18 06	2555	11611839	Q98421	1011	300.00	179957.48
12 18 06	2556	11611839	Q98421	1111	500.00	179457.48
12 18 06	2557	11611839	Q98421	1311	200.00	179257.48
12 18 06	288	11611839	Q98421	8021	40.00	179217.48
12 18 06	3	10483629	Q79397	1202	-150.00	179367.48
12 18 06	4	10483629	Q79397	1201	400.00	178967.48
12 18 06	5	10483629	Q79397	1201	200.00	178767.48
12 18 06	1	10803876	Q80555	1201	200.00	178567.48
12 18 06	43	60784481	P94044	8007	60.00	178507.48
12 18 06	45	60797373	P94856	8007	40.00	178467.48
12 18 06	64	11638902	CQ10291	1011	300.00	178167.48
12 18 06	65	11638902	CQ10291	1111	500.00	177667.48
12 18 06	66	11638902	CQ10291	1311	200.00	177467.48
12 18 06	67	11638902	CQ10291	1081	250.00	177217.48
12 18 06	47	60775803	P93466	8007	20.00	177197.48
12 18 06	49	60802165	P95105	8007	60.00	177137.48
12 18 06	372	6449513	Q55926	8021	40.00	177097.48
12 18 06	1	11489459	Q95216	1201	200.00	176897.48
12 18 06	2	11489459	Q95216	1202	200.00	176697.48
12 18 06	5	11489459	Q95216	1202	200.00	176497.48
12 18 06	1507	2425778	52965	7205	100.00	176397.48
12 18 06	1508	2425778	52965	7208	200.00	176197.48
12 18 06	13	11639254	Q98853	1011	300.00	175897.48
12 18 06	14	11639254	Q98853	1111	500.00	175397.48
12 18 06	15	11639254	Q98853	1311	200.00	175197.48
12 18 06	16	11639254	Q98853	1203	360.00	174837.48
12 18 06	16	09830605	Q64273	1251	120.00	174717.48
12 18 06	422	11570668	Q99043	8021	40.00	174677.48
12 18 06	236	1200243	200067/CERT	8503	15.00	174662.48
12 18 06	442	11537023	Q97493 (JTC)	8021	40.00	174622.48
12 18 06	184	11523534		8021	40.00	174582.48
12 18 06	446	10562426	Q92006	8021	40.00	174542.48
AN AMOUNT SUFFICIENT TO COVER ALL SERVICES REQUESTED MUST ALWAYS BE ON DEPOSIT			OPENING BALANCE	TOTAL CHARGES	TOTAL CREDITS	CLOSING BALANCE

Sughrue 2100 PENNSYLVANIA AVENUE, WASHINGTON, D.C. 20037-3213

INVOICE DATE	INVOICE NO.	DESCRIPTION	GROSS	NET INVOICE
12/21/06	OPER: SEP 124001 078623	Issue Fee		1,400.00
12/21/06	OPER: SEP 124001 078623	Publication Fee		300.00
CHECK NO.	CHECK DATE	TOTAL GROSS	NET CHECK	
311607	12/21/06 10456	DIRECTOR OF U.S. PATENT AND TRADEMARK OFFICE	1,700.00	



Sughrue

SUGHRUE MCGIN, PLLC

2100 PENNSYLVANIA AVENUE, N.W.
WASHINGTON, D.C. 20037-3213

DATE
12/21/06

65-770
620

311607

SUNTRUST
SunTrust Bank

***ONE THOUSAND SEVEN HUNDRED AND 00/100 DOLLARS**

PAY TO THE ORDER OF

DIRECTOR OF THE U.S. PATENT
AND TRADEMARK OFFICE

AMOUNT
\$1,700.00

NON-NEGOTIABLE

Cynthia C. Walker

⑈311607⑈ ⑈055002707⑈ 206548885⑈

SUNTRUST R/C 12292000

6175-001

1000

ORIENT AND THOMPSON OFFICE

FOR CREDIT TO THE
U.S. TREASURY

5116071

UN-00

3052

ST 50

600

9312007304
ACCOUNT NO. 370871 30367
FILA. IN 1220000 21PK
0816650793

30002



Sughrue

DATE

12/21/96

311607

SUNTRUST
SunTrust Bank

100 FINEST AVENUE SUITE 1000
WASHINGTON, D.C. 20004-2000

ONE THOUSAND SEVEN HUNDRED AND 00/100 DOLLARS

TO THE ORDER OF

DEPOSITED BY THE BANK OF AMERICA
1000 TRUCKS AND TRAILERS

AMOUNT

\$1,700.00

Cynthia C. Cohen

3116071 0059002707 206548885

0000170000